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**DATE:** October 24, 2003

**FROM:** Charles E. Bell  
*Direct Dial* 617-210-6830  
[cebells@mintz.com](mailto:cebells@mintz.com)

**OFFICIAL**

**To:**

NAME	COMPANY	BUSINESS #	FAX #
Petitions Examiner	Office of Petitions U.S. PTO	(703) 305-7866	(703) 872-9306

**RE: U.S.A.N. 09/872,623**

**MESSAGE:**

Dear Sir/Madam:

Further to a teleconference this afternoon between Examiner Huff and our offices regarding the above-referenced application, we are re-submitting a Rule 182/183 Petition, and accompanying papers, filed by the prior attorney of record, Susan J. Braman, on December 3, 2001.

The petition is being re-submitted for the Office's consideration and to complete the file history, because a decision has not been entered. Also submitted in support of the petition is the original paperwork (certificate of mailing, return postcard, check, express mailing label, and application checklist) performed by Ms. Braman. These documents are all dated June 1, 2001.

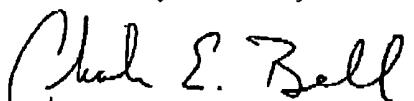
**We are sending a total of 11 pages, including this cover sheet.**

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If there are any questions, or if more information is required, please do not hesitate to contact me at the above number.

Respectfully submitted,



Charles E. Bell, Reg. No. 48,128

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Date: December 3, 2001  
Applicant(s): Andersen et al. Docket: 010.00121  
Serial No.: 09/872,623  
Filed: June 2, 2001  
For: Alpha-Fetoprotein Peptides and Uses Thereof

Petition Under 37 CFR 1.182 or 1.183 (3 pgs)  
 Check in the amount of \$130.00 (petition fee)  
[ ]  
[ ]  
[ ]

Please date stamp and return this postcard to indicate  
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**DOCKETED**

Due Date: \_\_\_\_\_  
By: 5813

2055	
BRAMAN & ROGALSKY, LLP	
P O Box 352	
Canandaigua, NY 14424-0352	
SB-177223 625	
DATE <u>12-3-01</u>	
TO THE <u>Commissioner of Patents and Trademarks</u>	
<u>one hundred thirty dollars and 00/100</u>	
\$ <u>130 00/100</u>	
DOLLARS <u>ONE HUNDRED THIRTY</u>	
CHASE The Chase Manhattan Bank 10 South Main Street Canandaigua, NY 14424	
FOR <u>09/872,623</u> <u>petition</u>	
<u>100020551002230017116255000580651</u>	
<u>Sam J. Braman</u>	

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): Andersen et al.			010.00121
Serial No. Not Yet Known	Filing Date Herewith	Examiner	Group Art Unit
Invention: ALPHA-FETOPROTEIN PEPTIDES AND USES THEREOF			
<p>I hereby certify that the following correspondence:</p> <p>New Utility Patent Application, including Specification, Claims, and Abstract (42 pgs), Transmittal Letter (4 pgs), 19 sheets of drawings, Sequence Listing pgs. 1-4, CRF copy of sequence listing, Statement Under 1.821(f), and check for \$373.00 for filing fee</p> <p><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p><u>1 June 2001</u> <i>(Date)</i></p> <p><b>Susan J. Braman</b> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p><i>Susan J. Braman</i> <i>(Signature of Person Mailing Correspondence)</i></p> <p><b>EJ900843346US</b> <i>("Express Mail" Mailing Label Number)</i></p>			
<p><b>Note:</b> Each paper must have its own certificate of mailing.</p> <p><b>RECEIVED</b> <b>CENTRAL FAX CENTER</b> <b>OCT 24 2003</b></p> <p><b>OFFICIAL</b></p>			

010.00121  
Client/Matter No.

## NEW APPLICATION FILING CHECKLIST

Initial  
Reviewer

Responsible  
Attorney  
(X = Agree)

1. Are all pages of the specification, claims, and abstract enclosed?  Yes  No  NA \_\_\_\_\_
2. Are all pages of drawings enclosed?  Yes  No  NA \_\_\_\_\_  
Check that the number of figures listed in the application is the same as that in the drawings.
3. Do the papers being filed each include an Express Mail Certification?  Yes  No  NA \_\_\_\_\_  
Label No. E790054334649
4. Is a stamped, pre-addressed postcard submitted?  Yes  No  NA \_\_\_\_\_
5. Is a fee submitted?  Yes  No  NA \_\_\_\_\_  
If yes, check X or deposit acct. authorization X
6. Is a Declaration/Power of Attorney form submitted?  Yes  No  NA \_\_\_\_\_  
If yes, Signed?  Yes  No  NA \_\_\_\_\_
7. Is X small Entity <sup>Statement</sup> <sub>submitted</sub> <sup>claimed</sup>?  Yes  No  NA \_\_\_\_\_  
If yes, Signed?  Yes  No  NA \_\_\_\_\_
8. Are an Assignment and Cover Sheet submitted?  Yes  No  NA \_\_\_\_\_  
If yes, Signed?  Yes  No  NA \_\_\_\_\_
9. Is a transmittal sheet enclosed?  Yes  No  NA \_\_\_\_\_  
If yes, Signed?  Yes  No  NA \_\_\_\_\_
10. Are an IDS and PTO-1449 form(s) enclosed?  Yes  No  NA \_\_\_\_\_  
If yes, cited references attached?  Yes  No  NA \_\_\_\_\_

Initial  
ReviewerResponsible  
Attorney  
(X = Agree)

11. Are all inventors named in transmittal or declaration?  Yes [ ] No [ ] NA \_\_\_\_\_

12. Is the envelope used to mail the application:

a. Addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231?  Yes [ ] No [ ] NA \_\_\_\_\_

b. Is the appropriate Box No. listed? If "yes" what box was used?  
Patent Appl  Yes [ ] No [ ] NA \_\_\_\_\_

c. Mailed by Express Mail?  Yes [ ] No [ ] NA \_\_\_\_\_

13. For Biotech cases:

a. Is the sequence information submitted on paper?  Yes [ ] No [ ] NA \_\_\_\_\_

b. Is the sequence information submitted on disk?  Yes [ ] No [ ] NA \_\_\_\_\_

c. Is a 1.821(f) statement submitted?  Yes [ ] No [ ] NA \_\_\_\_\_

14. For software cases:

a. Are microfiches enclosed? [ ] Yes [ ] No  NA \_\_\_\_\_

15. Has there been government funding of invention?

a. If Yes, has funding been acknowledged in application?  Yes [ ] No [ ] NA \_\_\_\_\_

Initial Review By:

Initial Reviewer

Date

Final Review By:

Susan J. Brown  
Responsible AttorneyDate June 1, 2001

C:\Documents\appl filing checklist

Date: June 1, 2001 Docket: 010.00121  
Applicant: Andersen et al.  
Serial No: Not Yet Known  
Filed: Herewith  
For: Alpha-Fetoprotein Peptides and Uses Thereof

- Utility Patent Appl. Transmittal Letter (4 pgs)
- Patent Application, including Specification, Claims and Abstract (42 pages)
- 19 sheets of drawings
- Sequence Listing pgs. 1-4 with CRF copy and 1.821(f) Statement
- Check for \$373.00 filing fee
- Certificate of Mailing by Express Mail, label no. EJ900843346US

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Please date stamp and return this postcard to indicate receipt of the enclosed papers

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1736	
50-17223 625	
PAY TO THE ORDER OF <u>Commissioner of Patents and Trademarks</u>	
FOR <u>010,00131 filing fee</u>	
DATE <u>Sept 1, 2001</u>	
\$ <u>373 00</u>	
DOLLARS <u>Three hundred seventy three dollars and 00/100</u>	
CHASE <small>The Chase Manhattan Bank 50 South Main Street Canandaigua, NY 14424</small>	
100 1736 00223001731625500058055	

*Sept 9. 2001*